

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



GP 2183
PTO/SB/21 (08-00)

#2
H.
11/29/01

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/870,463
Filing Date	6/1/01
First Named Inventor	Michael I. Catherwood
Group Art Unit	2183
Examiner Name	
Attorney Docket Number	18153.0032

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Statement Claiming Small Entity Status <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO 1449 Form and Communication in Association with IDS
Remarks		RECEIVED NOV 21 2001 Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Chadwick A. Jackson, Reg. No. 46,495
Signature	
Date	November 15, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael I. Catherwood, et al.

Application No. 09/870,463

Art Unit: 2183

Filed: June 1, 2001

Examiner:

For: SHADOW REGISTER
ARRAY CONTROL
INSTRUCTIONS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
NOV 21 2001
Technology Center 2100

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

[] U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

[] A concise explanation of the relevance of the non-English language references is attached:

[] The relevance of the references is indicated on the enclosed copy of the ____ Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

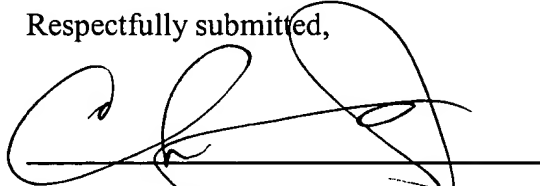
OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).
- ☐ The Commissioner is hereby authorized to charge Deposit Account 19-5127, Order No. _____ in the amount of \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p).
- ☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.
- ☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

Atty Docket: 18153.0032

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127, Order No. 18153.0032. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Chadwick A. Jackson', is written over a horizontal line.

Chadwick A. Jackson, Reg. No. 46,495
Swidler Berlin Shereff Friedman, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007-5116
202 424-7500

Date: November 15, 2001

Client No. 18153.0032



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Michael I. Catherwood

Application No. 09/870,463

Filed: June 1, 2001

Titled: SHADOW REGISTER ARRAY
CONTROL INSTRUCTIONS

Group Art Unit 2183

Examiner:

**COMMUNICATION IN ASSOCIATION WITH
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

NOV 21 2001

Technology Center 2100

Sir:

Copies of the references recited in the Information Disclosure Statement for the above-mentioned application may be found in any one of the following applications:

	<u>Application No.</u>	<u>Docket No.</u>	<u>Group/Art Unit</u>
1.	US 09/870,461	18153.0044	2121
2.	US 09/870,449	18153.0035	2661
3.	US 09/870,446	18153.0046	2184
4.	US 09/870,648	18153.0038	2186
5.	US 09/870,637	18153.0033	2151
6.	US 09/870,456	18153.0056	2819
7.	US 09/870,711	18153.0049	2817
8.	US 09/870,944	18153.0040	2171
9.	US 09/870,454	18153.0054	2631
10.	US 09/870,457	18153.0042	2183

Client No. 18153.0032

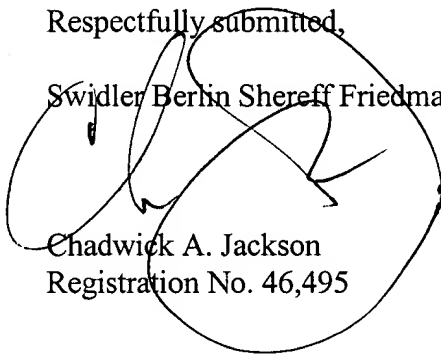
The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment to Deposit Account No. 19-5127 referencing Order No. 18153.0032 for entry of this paper.

Respectfully submitted,

Swidler Berlin Shereff Friedman, LLP

Dated: November 15, 2001

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007
(202) 424-7500

By:  Chadwick A. Jackson
Registration No. 46,495